



3621

PTO/SB/21 (08-00)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/541,443
		Filing Date	March 31, 2002
		First Named Inventor	Rick Dedrick
		Group Art Unit	3621
		Examiner Name	Daniel L Greene
Total Number of Pages in This Submission	29	Attorney Docket Number	42P7955

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input checked="" type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">- transmittal of formal drawings form - return postcard</div>
Remarks		

RECEIVED  
DEC 06 2002  
GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lisa Tom, Reg. No. 52,291 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Lisa Tom</i>
Date	November 22, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: November 22, 2002			
Typed or printed name	Annie G. Pearson		
Signature	<i>Annie Pearson</i>	Date	November 22, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>COMPLETE FEE TRANSMITTAL</b> for FY 2003 <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Application Number	09/541,443
TOTAL AMOUNT OF PAYMENT (\$)		Filing Date	March 31, 2002
		First Named Inventor	Rick Dedrick
		Examiner Name	Daniel L Greene
		Group/Art Unit	3621
		Attorney Docket No.	42P7955

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																															
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input checked="" type="checkbox"/> None <input type="checkbox"/> Deposit Account		<b>3. ADDITIONAL FEES</b>																																															
Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP																																																	
The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20 <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account																																																	
<b>FEE CALCULATION</b>																																																	
<b>1. BASIC FILING FEE</b>																																																	
<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1001</td><td>740</td><td>2001</td><td>370</td><td>Utility filing fee</td><td></td></tr><tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>510</td><td>2003</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>740</td><td>2004</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="4">SUBTOTAL (1)</td><td></td><td></td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	740	2001	370	Utility filing fee		1002	330	2002	165	Design filing fee		1003	510	2003	255	Plant filing fee		1004	740	2004	370	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1)							
Large Entity		Small Entity		Fee Description	Fee Paid																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																														
1001	740	2001	370	Utility filing fee																																													
1002	330	2002	165	Design filing fee																																													
1003	510	2003	255	Plant filing fee																																													
1004	740	2004	370	Reissue filing fee																																													
1005	160	2005	80	Provisional filing fee																																													
SUBTOTAL (1)																																																	
<b>2. EXTRA CLAIM FEES</b>																																																	
Total Claims: <input type="text"/> - 20* = <input type="text"/> X <input type="text"/> = <input type="text"/> Independent Claims: <input type="text"/> - 3 = <input type="text"/> X <input type="text"/> = <input type="text"/> Multiple Dependent: <input type="text"/>																																																	
<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>1201</td><td>84</td><td>2201</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>1203</td><td>280</td><td>2203</td><td>140</td><td>Multiple Dependent claim, if not paid</td><td></td></tr><tr><td>1204</td><td>84</td><td>2204</td><td>42</td><td>**Reissue independent claims over original patent</td><td></td></tr><tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="4">SUBTOTAL (2)</td><td></td><td></td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3		1203	280	2203	140	Multiple Dependent claim, if not paid		1204	84	2204	42	**Reissue independent claims over original patent		1205	18	2205	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)							
Large Entity		Small Entity		Fee Description	Fee Paid																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																														
1202	18	2202	9	Claims in excess of 20																																													
1201	84	2201	42	Independent claims in excess of 3																																													
1203	280	2203	140	Multiple Dependent claim, if not paid																																													
1204	84	2204	42	**Reissue independent claims over original patent																																													
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent																																													
SUBTOTAL (2)																																																	
*or number previously paid, if greater, For Reissues, see below																																																	
		<b>Large Entity   Small Entity</b>																																															
		<b>Fee Code   Fee (\$)</b>																																															
		<b>Fee Description</b>																																															
		<b>Fee Paid</b>																																															
		1051 130 2051 65 Surcharge - late filing fee or oath																																															
		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.																																															
		2053 130 2053 130 Non-English specification																																															
		1812 2,520 1812 2,520 For filing a request for ex parte reexamination																																															
		1804 920* 1804 920* Requesting publication of SIR prior to Examiner action																																															
		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action																																															
		1251 110 2251 55 Extension for reply within first month																																															
		1252 400 2252 200 Extension for reply within second month																																															
		1253 920 2253 460 Extension for reply within third month																																															
		1254 1,440 2254 720 Extension for reply within fourth month																																															
		1255 1,960 2255 980 Extension for reply within fifth month																																															
		1404 320 2401 160 Notice of Appeal																																															
		1402 320 2402 160 Filing a brief in support of an appeal																																															
		1403 280 2403 140 Request for oral hearing																																															
		1451 1,510 2451 1,510 Petition to institute a public use proceeding																																															
		1452 110 2452 55 Petition to revive - unavoidable																																															
		1453 1,280 2453 640 Petition to revive - unintentional																																															
		1501 1,280 2501 640 Utility issue fee (or reissue)																																															
		1502 460 2502 230 Design issue fee																																															
		1503 620 2503 310 Plant issue fee																																															
		1460 130 2460 130 Petitions to the Commissioner																																															
		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)																																															
		1806 180 1806 180 Submission of Information Disclosure Stmt																																															
		8021 40 8021 40 Recording each patent assignment per property (times number of properties)																																															
		1809 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))																																															
		1810 740 2810 370 For each additional invention to be examined (37 CFR § 1.129(b))																																															
		1801 740 2801 370 Request for Continued Examination (RCE)																																															
		1802 900 1802 900 Request for expedited examination of a design application																																															
		Other fee (specify) _____																																															
		* Reduced by Basic Filing Fee Paid																																															
		SUBTOTAL (3) (\$)																																															

RECEIVED  
DEC 06 2002  
GROUP 3600

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Lisa Tom	Registration No. (Attorney/Agent)	52,291
Signature	<i>Lisa Tom</i>	Telephone	(503) 684-6200
		Date	11/22/02

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**



DOCKET NO.: 42P7955

#7  
12/10/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

RICK DEDRICK, ET AL.

Application No.: 09/541,443

Filed: March 31, 2002

For: **ELECTRONIC ASSET LENDING  
LIBRARY METHOD AND APPARATUS**

Art Group: 3621

Examiner: Daniel L Greene

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**  
DEC 06 2002  
**GROUP 3600**

**TRANSMITTAL OF FORMAL DRAWINGS**

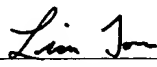
Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 7 sheets including 7 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: November 22, 2002

  
Lisa Tom, Reg. No. 52,291

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: November 22, 2002.

  
Annie G. Pearson

11/22/02  
Date